Figure: 28 TAC §34.1302(c)

| Citation | Violation | Fine | Citation | Violation | Fine |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Certificates of Registration and Licenses |  |  | Planning and Installation |  |
| 6003.151(a) | Engage in business w/o registration certificate | \$3,000.00 | 34.717(b) | Failed to maintain copy of updated plans | \$500.00 |
| 6003.153(b) | Act as responsible managing employee (RME) w/o holding license | \$3,000.00 | 34.717(c) | Plans do not contain required signatures/ information about firm or licensee | \$500.00 |
| 34.710(a) | Subcontracting to unregistered firm to perform work of fire protection sprinkler contractor | \$3,000.00 | 34.717(c)(2) | Plans not submitted to AHJ for review/permit/rating/record | \$500.00 |
| 34.711(a) | Firm's RME not licensed or license expired | \$1,000.00 | 34.717(c)(2) | Plans incorrectly submitted to AHJ | \$250.00 |
| 34.711(e)(1) | Licensee working not employed by registered firm | \$750.00 |  | Tags |  |
| 34.711(e)(2) | Firm failed to notify SFMO of termination of employee w/in 14 days | \$250.00 | 34.718(a) | Installation tag not completed, not completed in detail, not attached to riser | \$500.00 |
|  | Adopted Standards |  | 34.718(b) | ITM tag not attached to riser after installation/required tests and inspections | \$500.00 |
| 34.716(i) | Planning/installation/service not in accordance with adopted standards | $\begin{array}{r} \$ 50.00- \\ \$ 500.00 \\ \hline \end{array}$ | 34.719(a) | Service tag not completed and/or not attached to riser | \$500.00 |
|  | Location/Business Practices |  | 34.719(c) | New service tag not attached after service | \$500.00 |
| 34.710(b) | Failure to maintain business location on certificate of registration | \$500.00 | 34.719(g) | Service tags improperly formatted | \$250.00 |
| 34.711(f) | Individual not licensed for work performed | \$3,000.00 | 34.720(a) | ITM tag not completed and/or attached to riser after scheduled inspection, testing or maintenance service | \$500.00 |
| 34.716(a) | System not installed under supervision of appropriately licensed individual | \$3,000.00 | 34.720(c) | New ITM tag not completed and attached after each service | \$500.00 |
| 34.716(b) | Individual did not affix material and test certificate on or near riser | \$500.00 | 34.720(g) | ITM tag improperly formatted | \$250.00 |
| 34.716(c) | Inspection/test/maintenance service not conducted by appropriately licensed individual | \$3,000.00 | 34.721(a) | Yellow tag not completed and/or attached to noncompliant system | \$500.00 |
| 34.716(d) | Records not available for examination | \$500.00 | 34.721(c) | Building owner/representative/AHJ not notified of noncompliant system | \$500.00 |
| 34.716(e) | Vehicles do not display co. name, tel. number and certificate of registration | \$250.00 | 34.721(f) | Yellow tag improperly formatted | \$250.00 |
| 34.716(g) | The planning not performed under the direct supervision of the appropriately licensed RME. | \$500.00 | 34.722(a) | Red tag not completed and attached to system with an emergency impairment | \$500.00 |
| 34.716(h) | Planning, installation, or service of a fire protection sprinkler system not in accord with the minimum requirements of the applicable adopted standards | \$3,000.00 | 34.722(b) | Owner/representative/AHJ not orally notified immediately of impairment | \$500.00 |
| 6003.252(3) | Misrepresentation | $\begin{array}{r} \$ 250.00- \\ \$ 1,000.00 \end{array}$ | 34.722(b) | Owner/representative/AHJ not notified in writing of impairment within 24 hours | \$500.00 |
|  | Planning and Installation |  | 34.722(g) | Red tag improperly formatted | \$250.00 |
| 34.717(a) | Failed to provide as built plans to the owner | \$500.00 |  |  |  |
| 34.717(a) | Failed to maintain a copy of plans | \$500.00 |  |  |  |
| 34.717(b) | Failed to provide updated plans to owner | \$500.00 |  |  |  |


| 34.713(a)(7)(B) | Lapse of Insurance |  | Amount |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Months | Punitive | + |  |  | Total |
| 0-1 | \$200 | $+$ | \$125 | $=$ | Warning Letter |
| 1-2 | \$500 | + | \$250 | $=$ | \$750 |
| 2-3 | \$500 | $+$ | \$375 | $=$ | \$875 |
| 3-4 | \$500 | + | \$500 | = | \$1,000 |
| 4-5 | \$500 | + | \$625 | = | \$1,125 |
| 5-6 | \$500 | + | \$750 | = | \$1,250 |
| 6-7 | \$750 | + | \$875 | = | \$1,625 |
| 7-8 | \$750 | + | \$1,000 | $=$ | \$1,750 |
| 8-9 | \$750 | + | \$1,125 | = | \$1,875 |
| 9-10 | \$750 | + | \$1,250 | $=$ | \$2,000 |


| $10-11$ | $\$ 750$ | + | $\$ 1,375$ | $=$ | $\$ 2,125$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $11-12$ | $\$ 750$ | + | $\$ 1,500$ | $=$ | $\$ 2,250$ |

